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Receipt

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MAR 25, 2002  
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TECHNOLOGY CENTER

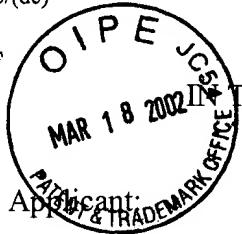
## ANNOUNCEMENT

Please note that Hamilton, Brook, Smith & Reynolds, P.C., has relocated.

Effective immediately, our new postal address and contact numbers are as follows:

Hamilton, Brook, Smith & Reynolds, P.C.  
530 Virginia Road  
P.O. Box 9133  
Concord, Massachusetts 01742-9133  
USA

Telephone: (978) 341-0036  
Facsimile: (978) 341-0136



## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Gary J. Swanson

Application No.:

09/895,865

Group: 2872

Filed:

June 29, 2001

Examiner: Not assigned

For:

A System and Method for Efficient Illumination in Color Projection Displays

<b>CERTIFICATE OF MAILING</b>	
<p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202</p>	
on <u>3/12/02</u>	<u>Carol M. Brown</u>
Date	Signature
<u>Carol M. Brown</u>	
Typed or printed name of person signing certificate	

RECEIVED  
MAR 25 2002  
TECHNOLOGY CENTER 2800

**REQUEST FOR CORRECTED FILING RECEIPT**  
**FOR UTILITY APPLICATION**

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Sir:

We hereby request that the following items of information be corrected to the Filing Receipt for the subject application received in this office on February 28, 2002.

A copy of a recently filed Declaration/Power of Attorney is submitted herewith.

The errors and corrections appear below.

In the Title, please insert ---A--- at the beginning, please delete "of" and insert ---for---.  
The title should read as follows: "A System and Method for Efficient Illumination in Color Projection Displays".

Enclosed are copies of the Filing Receipt with changes noted in red and a copy of the Declaration/Power of Attorney.

Pursuant to instructions in the February 29, 2000 O.G., we hereby request that the errors which are identified above be corrected in the captioned application to which this request for correction is directed. It is understood that the Patent Office will issue an automatically-generated, corrected Filing Receipt in this and, if applicable, any other affected applications.

Respectfully submitted,

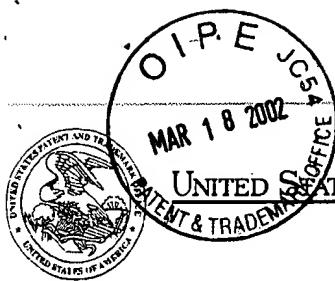
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By   
N. Scott Pierce  
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Telephone: (978) 341-0036  
Facsimile: (978) 341-0136

Concord, MA 01742-9133

Date:

2/8/02



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/895,865	06/29/2001	2872	1136	2847.1001-011	8	32	4

## CONFIRMATION NO. 5881

## UPDATED FILING RECEIPT



\*OC000000007499904\*

Date Mailed: 02/20/2002

021005  
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.  
530 VIRGINIA ROAD  
P.O. BOX 9133  
CONCORD, MA 01742-9133

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Gary J. Swanson, Lexington, MA;

**Assignment For Published Patent Application**

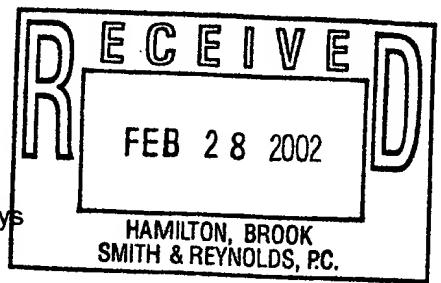
Massachusetts Institute of Technology, Cambridge, MA;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CON OF 08/443,180 05/17/1995 ABN  
WHICH IS A CIP OF 08/330,339 10/27/1994 ABN

**Foreign Applications****If Required, Foreign Filing License Granted 07/23/2001****Projected Publication Date: 05/30/2002****Non-Publication Request:** No**Early Publication Request:** No**Title**

A System and method of efficient illumination in color projection displays  
for



Preliminary Class

359

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Atto. ey  
Docket No.: MIT-122AXDECLARATION AND POWER OF ATTORNEY**COPY FOR CONTINUING APPLICATION**

I, a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**A SYSTEM AND METHOD FOR EFFICIENT ILLUMINATION  
IN COLOR PROJECTION DISPLAYS**

the specification of which (check one):

[ ] is attached hereto. [X] was filed May 17, 1995 as Serial No. 08/443,180, amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

I hereby claim foreign priority benefits under Title 35 USC 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>	<u>Date Filed</u>	<u>Priority Claimed</u>
(Number) (Country)	(Day/Month/Year)	[ ] Yes [ ] No
(Number) (Country)	(Day/Month/Year)	[ ] Yes [ ] No
(Number) (Country)	(Day/Month/Year)	[ ] Yes [ ] No

I hereby claim the benefit under Title 35 USC 120 of any United States application(s) listed below and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 USC 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

08/330,339 (Application Serial No.)	10/27/94 (Filing Date)	Pending (Patented/pending/abandoned)
(Application Serial No.)	(Filing Date)	(Patented/pending/abandoned)
(Application Serial No.)	(Filing Date)	(Patented/pending/abandoned)

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MAR 25 2001  
2000

Attn. by  
Docket No.: MIT-122AX

**OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business connected therewith in the Patent and Trademark Office, and to file with the USRO any International Application based thereon.

Stanley M. Schurigin, Reg. No. 20,979  
Charles L. Gagnebin III, Reg. No. 25,467  
Paul J. Hayes, Reg. No. 28,307

Victor B. Lebovici, Reg. No. 30,864  
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Address all correspondence to:

**WEINGARTEN, SCHURGIN, GAGNEBIN & HAYES**  
Ten Post Office Square  
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Telecopier: (617) 451-0313

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor:

Gary J. Swanson

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Post Office Address 4 Holton Road	City Lexington	State or Country Zip Code Massachusetts 02173
Signature: (Please sign and date in permanent ink.)  <i>X Gary J. Swanson</i>		Date signed:  <i>X May 23, 1995</i>

Full Name of Second Joint Inventor:

City of Residence	State or Country	Country of Citizenship
Post Office Address	City	State or Country Zip Code
Signature: (Please sign and date in permanent ink.)  <i>X</i>		Date signed:  <i>X</i>



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Bib Data Sheet

**CONFIRMATION NO. 5881**

SERIAL NUMBER 09/895,865	FILING DATE 06/29/2001 RULE	CLASS 359	GROUP ART UNIT 2872	ATTORNEY DOCKET NO. 2847.1001-011
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**APPLICANTS**

Gary J. Swanson, Lexington, MA;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CON OF 08/443,180 05/17/1995 ABN  
WHICH IS A CIP OF 08/330,339 10/27/1994 ABN

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

\*\* 07/23/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY MA	SHEETS DRAWING 8	TOTAL CLAIMS 32	INDEPENDENT CLAIMS 4
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature Initials				

**ADDRESS**

21005

**TITLE**

System and method for efficient illumination in color projection displays

FILING FEE RECEIVED 1136	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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